

Attorney Docket No. IDD-5657CIP2  
1417Y P 606

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re U.S. Utility Patent Application of:  
Jane Werling, James E. Kipp, Rajaram  
Sriram, and Mark J. Doty  
  
For: METHOD FOR PREPARING  
SUBMICRON SUSPENSIONS WITH  
POLYMORPH CONTROL  
  
Mailed: October 19, 2001

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Certificate of Express Mailing  
I hereby certify that this correspondence is being deposited  
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Patents, BOX PATENT APPLICATION, Washington,  
D.C. 20231.

  
Heather M. Pishko

EL831512085US  
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October 19, 2001  
Mailing Date

JC978 US PRO  
10/035821  
10/19/01  


PATENT APPLICATION TRANSMITTAL

BOX PATENT APPLICATION  
Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Transmitted herewith for filing under 35 U.S.C. §111(a) and 37 C.F.R. 1.53(b) is a new utility continuation-in-part patent application for a "Method for Preparing Submicron Suspensions with Polymorph Control."

This application is a continuation in part of application serial No. 09/953,979 filed on September 17, 2001 which is a continuation in part of application No. 09/874,637 filed on June 5, 2001, which claims priority from provisional application serial number 60/258,160 filed December 22, 2000.

X 54 pages of Specification, including 66 Claims and an Abstract

None Sheets of Drawings

X Unexecuted Declaration and Power of Attorney

The filing fee has been calculated as shown below, which will be submitted in response to the Notice to File Missing Parts of Application.

| For:  | No. Filed | No. Extra |
|---|-----------|-----------|
| Basic Fee   |           |           |
| Total Claims  | 66 - 20 = | 46        |
| Indep. Claims   | 4 - 3 =   | 1         |
| <input type="checkbox"/> First Presentation of Multiple Dependent Claim |           |           |

| Small Entity |        |
|--------------|--------|
| Rate         | Fee    |
|              | \$ 370 |
| x 9 =        | \$ 0   |
| x42 =        | \$ 0   |
| x140 =       | \$ 0   |
| Total        | \$ 0   |

| Other Than A Small Entity |         |
|---------------------------|---------|
| Rate                      | Fee     |
|                           | \$ 740  |
| x 18 =                    | \$ 828  |
| x 84 =                    | \$ 84   |
| x280=                     | \$ 0    |
| Total                     | \$ 1652 |

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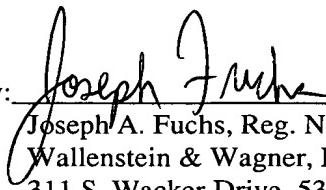
The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 23-0280. A duplicate copy of this sheet is attached.

- Any additional filing fees under 37 C.F.R. § 1.16.
- Any patent application processing fees under 37 C.F.R. § 1.17.

Respectfully submitted,

Date: October 19, 2001

By:

  
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312.554.3300

jlg/133057.1

## EXPRESS MAIL CERTIFICATION

### In Re U.S. Patent Application

Applicant(s): **Jane WERLING , James E. KIPP, Rajaram SRIRAM, and Mark J. DOTY**

Filing Date: **October 19, 2001**

Entitled: **METHOD FOR PREPARING SUBMICRON SUSPENSIONS WITH POLYMORPH CONTROL**

Attorney Docket No.: **IDD-5657CIP2**

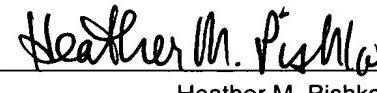
Enclosures:

- **Return Receipt Postcard;**
- **Express Mail Certification;**
- **Patent Transmittal Letter (in duplicate); and**
- **54-Page Patent Application (including 66 Claims and Abstract).**

I HEREBY CERTIFY THAT THIS PAPER AND THE ABOVE DOCUMENTS ARE BEING DEPOSITED WITH THE U.S. POSTAL SERVICE AS EXPRESS MAIL IN AN ENVELOPE ADDRESSED TO:

BOX PATENT APPLICATION  
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Heather M. Pishko

**PLEASE ADDRESS ALL FUTURE COMMUNICATIONS TO:**

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